Form PTO-1449
 Sheet 1 of 1

 Applicant:
 Tsutomu Kitoh et al.
 Confirmation No.: 2077

 Serial No.:
 10/540,789
 Arty Docket No.: 1432.179

 Fliing Date
 April 14, 2006
 Art Unit: 2883

 For.
 ARRAYED WAVEGUIDE GRATING TYPE OPTICAL MULTIPLEXER DEMILTIPLEXER

For: ARRAYED WAVEGUIDE (
CIRCUIT (AMENDED)

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner Document Issue
Initial* Number Date Name

 Examiner
 Document
 Publication
 Country or Initial*
 Number
 Date
 Patent Office
 Translation

Other Documents

(including author, title, pertinent pages, etc.)
Examiner

Initial*

K. Okamoto, Fundamentals of Optical Waveguides, Academic Press, 2000, pp. 346-381.

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 699 of the Muniar of Pattern Examining Procedure and 37 C.F.R. § 8, 19 and 1.8. T. One considered a proper information Disclosure Statement, Form PTO-149 shall be accompanied by a copy of each listed pattern or publication order item of information and a translation of the pertinent portions of foreign documents (far existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a finely remainer asset cour in MPPE Sec. and

Examiners will consider all cisations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the cistations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the cistation is in full conformance with the guidelines. A line will be driven through a cistation if it is not in conformance with the guidelines. All now will be driven through a cistation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entired

into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTA-1142

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Examiner: Date Considered:

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